Received by NSD/FARA Registration Unit 10/09/2019 3:40:58 PM OMB No. 1124-0006; Expires May 31, 2020

U.S. Department of Justice

Washington, DC 20530

Exhibit A to Registration Statement Pursuant to the Foreign Agents Registration Act of 1938, as amended

INSTRUCTIONS. Furnish this exhibit for EACH foreign principal listed in an initial statement and for EACH additional foreign principal acquired subsequently. The filing of this document requires the payment of a filing fee as set forth in Rule (d)(1), 28 C.F.R. § 5.5(d)(1). Compliance is accomplished by filing an electronic Exhibit A form at https://www.fara.gov.

Privacy Act Statement. The filing of this document is required by the Foreign Agents Registration Act of 1938, as amended, 22 U.S.C. § 611 et seq., for the purposes of registration under the Act and public disclosure. Provision of the information requested is mandatory, and failure to provide this information is subject to the penalty and enforcement provisions established in Section 8 of the Act. Every registration statement, short form registration statement, supplemental statement, exhibit, amendment, copy of informational materials or other document or information filed with the Attorney General under this Act is a public record open to public examination, inspection and copying during the posted business hours of the Registration Unit in Washington, DC. Statements are also available online at the Registration Unit's webpage: https://www.fara.gov. One copy of every such document, other than informational materials, is automatically provided to the Secretary of State pursuant to Section 6(b) of the Act, and copies of any and all documents are routinely made available to other agencies, departments and Congress pursuant to Section 6(c) of the Act. The Attorney General also transmits a semi-annual report to Congress on the administration of the Act which lists the names of all agents registered under the Act and the foreign principals they represent. This report is available to the public in print and online at: https://www.fara.gov.

Public Reporting Burden. Public reporting burden for this collection of information is estimated to average .49 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to Chief, Registration Unit, Counterintelligence and Export Control Section, National Security Division, U.S. Department of Justice, Washington, DC 20530; and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Washington, DC 20503.

1. Name and Address of Registrant DLA Piper LLP (US) 500 8th Street NW Washington, DC 20004		2. Registration No. 3712		
3. Name of Foreign Principal Azerbaijan Railways CJSC	4. Principal Address of Foreign Principa D. Aliyeva 230 Baku AZ1010, Azerbaijan	ıl		
5. Indicate whether your foreign principal is one of the following: Government of a foreign country Foreign political party Foreign or domestic organization: If either, check one of the following: Partnership Committee Corporation Voluntary group Association Other (specify) Individual-State nationality				
6. If the foreign principal is a foreign government, state:a) Branch or agency represented by the registrantb) Name and title of official with whom registrant deals				
7. If the foreign principal is a foreign political party, state: a) Principal address				
b) Name and title of official with whom registrant dec) Principal aim	als			

^{1 &}quot;Government of a foreign country," as defined in Section 1(e) of the Act, includes any person or group of persons exercising sovereign de facto or de jure political jurisdiction over any country, other than the United States, or over any part of such country, and includes any subdivision of any such group and any group or agency to which such sovereign de facto or de jure authority or functions are directly or indirectly delegated. Such term shall include any faction or body of insurgents within a country assuming to exercise governmental authority whether such faction or body of insurgents has or has not been recognized by the United States.

Date of Exhibit A Name and Title Signature October 9, 2019 William Minor, Partner /s William Minor

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U.S. Department of Justice

Washington, DC 20530

Exhibit B to Registration Statement Pursuant to the Foreign Agents Registration Act of 1938, as amended

INSTRUCTIONS. A registrant must furnish as an Exhibit B copies of each written agreement and the terms and conditions of each oral agreement with his foreign principal, including all modifications of such agreements, or, where no contract exists, a full statement of all the circumstances by reason of which the registrant is acting as an agent of a foreign principal. Compliance is accomplished by filing an electronic Exhibit B form at https://www.fara.gov.

Privacy Act Statement. The filing of this document is required for the Foreign Agents Registration Act of 1938, as amended, 22 U.S.C. § 611 et seq., for the purposes of registration under the Act and public disclosure. Provision of the information requested is mandatory, and failure to provide the information is subject to the penalty and enforcement provisions established in Section 8 of the Act. Every registration statement, short form registration statement, supplemental statement, exhibit, amendment, copy of informational materials or other document or information filed with the Attorney General under this Act is a public record open to public examination, inspection and copying during the posted business hours of the Registration Unit in Washington, DC. Statements are also available online at the Registration Unit's webpage: https://www.fara.gov. One copy of every such document, other than informational materials, is automatically provided to the Secretary of State pursuant to Section 6(b) of the Act, and copies of any and all documents are routinely made available to other agencies, departments and Congress pursuant to Section 6(c) of the Act. The Attorney General also transmits a semi-annual report to Congress on the administration of the Act which lists the names of all agents registered under the Act and the foreign principals they represent. This report is available to the public in print and online at: https://www.fara.gov.

Public Reporting Burden. Public reporting burden for this collection of information is estimated to average .33 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to Chief, Registration Unit, Counterintelligence and Export Control Section, National Security Division, U.S. Department of Justice, Washington, DC 20530; and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Washington, DC 20503.

1. Na	me of Registrant	2. Registration No.		
DLA Piper LLP (US)		3712		
3. Na	me of Foreign Principal			
Aze	rbaijan Railways CJSC			
7	Check App	propriate Box:		
4. x	The agreement between the registrant and the above-named foreign principal is a formal written contract. If this box is checked, attach a copy of the contract to this exhibit.			
5. 🗆	There is no formal written contract between the registrant and the foreign principal. The agreement with the above-named foreign principal has resulted from an exchange of correspondence. If this box is checked, attach a copy of all pertinent correspondence, including a copy of any initial proposal which has been adopted by reference in such correspondence.			
6. 🗆	contract nor an exchange of correspondence between the p	d the foreign principal is the result of neither a formal written parties. If this box is checked, give a complete description below of unding, its duration, the fees and expenses, if any, to be received.		

7. Describe fully the nature and method of performance of the above indicated agreement or understanding.

The registrant will provide the foreign principal with legal advice and assistance relating to U.S. sanctions on Iran that affect the transport of oil, gas, and other petrochemical products that originate in third countries and that transit through Iran. As necessary and appropriate, such matters may be referred to the State and/or Treasury Departments (OFAC) or both for a license, guidance or other authorizations. For the performance of these services, the foreign principal will pay the registrant based on the hourly billing rates of the attorneys involved with the matter, plus expenses.

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8.	Describe fully the activities the registrant engages in or proposes	to engage in on behalf of the above foreign principal.	_		
	The registrant will provide the foreign principal with legal advice the transport of oil, gas, and other petrochemical products that This includes advice on creating a modus vivendi (i.e., document transportation of goods that transit Iran to the Azerbaijan bord necessary and appropriate, such matters may be referred to the license, guidance or other authorizations.	t originate in third countries and that transit through Iran. onts to be collected, procedures to be followed, etc.) for der but originate in countries other than Iran (e.g., Iraq). As			
9	Will the activities on behalf of the above foreign principal include	e political activities as defined in Section 1(o) of the Act and in	_		
٦.	the footnote below? Yes No	to pointed activities as defined in Section 1(0) of the Act and in			
	If yes, describe all such political activities indicating, among othe together with the means to be employed to achieve this purpose.	er things, the relations, interests or policies to be influenced			
	The registrant may engage in communications on behalf of the licenses, guidance or other authorizations.	e foreign principal with Executive Branch officials regarding			
EXECUTION					
In accordance with 28 U.S.C. § 1746, the undersigned swears or affirms under penalty of perjury that he/she has read the information set forth in this Exhibit B to the registration statement and that he/she is familiar with the contents thereof and that such					
contents are in their entirety true and accurate to the best of his/her knowledge and belief.					
Da	ate of Exhibit B Name and Title	Signature Sign	Γ		
Oct	tober 9, 2019 William Minor, Partner	/s/ William Minor	;		
Foo	otnote: "Political activity," as defined in Section 1(o) of the Act, means any activity which	ch the person engaging in believes will, or that the person intends to, in any way influentiation that I believe States with reference to formulation adopting to the principal to the state of the stat	_ ence		

Footnote: "Political activity," as defined in Section 1(o) of the Act, means any activity which the person engaging in believes will, or that the person intends to, in any way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting, or changing the domestic or foreign policies of the United States or with reference to the political or public interests, policies, or relations of a government of a foreign country or a foreign political party.



DLA Piper LLP (US) 500 Eighth Street, NW Washington, DC 20004 www.dlapiper.com

Richard Newcomb richard.newcomb@dlapiper.com T 202.799.4434 F 202.799.5434

No huq 827

September 12, 2019

VIA EMAIL - S.SHUKUROV@ADY.AZ

Mr. Vusal Aslanov Deputy Chairman Azerbaijan Railways CJSC D. Aliyeva 230 Baku AZ1010, Azerbaijan

Re: Engagement and Conflict Waiver Letter for Legal Services

Dear Mr. Aslanov:

We are pleased that Azerbaijan Railways CJSC ("ARC", the "Client" or "you") is engaging DLA Piper LLP (US) ("DLA Piper," "we," or the "Firm") to represent it in connection with legal advice and assistance relating to US sanctions on Iran that affect the transport of oil, gas, and other petrochemical products that originate in third countries and that transit through Iran. This includes advice on creating a *modus vivendi* (i.e., documents to be collected, procedures to be followed, etc.) for transportation of goods that transit Iran to the Azerbaijan border but originate in countries other than Iran (e.g. Iraq) (the "Matter"). As necessary and appropriate, such matters may be referred to the State and/or Treasury Departments (OFAC) or both for a license, guidance or other authorizations.

General Terms

The terms and conditions set forth in the Terms of Service accompanying this letter are incorporated herein with the exception of any terms and conditions herein that differ from those in the Terms of Service. Where the terms and conditions differ, this letter shall control.

I will be responsible for and actively involved in the Matter. Additional lawyers, including those in other practice areas will be added to the Matter on an as-needed basis. Any significant additions to staffing will be discussed with you in advance.

Fees and Expenses

The Firm's fees will be based on the time involved in the Matter and our internal time charges. My standard hourly fee is \$1,190.00. Assisting me will be Rochelle Stern, Of Counsel, and



Mr. Vusal Aslanov September 12, 2019 Page Two

Lawrence Levinson, Senior Counsel. Their fees are \$895.00 and \$750.00 respectively. As part of the Firm's ordinary business practices, hourly time charges are reviewed annually, and may be revised for work beginning in January.

We will submit statements for services rendered for payment on a monthly basis. Payment is due thirty (30) days following the invoice date. We reserve the right to assess and collect late payment charges at the rate of 10% per year on past due accounts.

In addition, our billing statements will include charges and disbursements incurred by us in the course of providing services. These items will be billed in accordance with our standard practice, as described in the attached summary, which may be periodically updated.

All fees and expenses owing to the Firm for the Matter are stated in United States dollars, and shall be paid free and clear of, and without deduction or withholding on account of, any taxes or duties of any kind imposed by Azerbaijan or any other jurisdiction through which payments are made, or by any political subdivision or taxing authority thereof or therein with respect to such payments. If any such taxes or duties are so levied or imposed, unless impermissible under applicable law or regulation, ARC will be responsible for the full payment of any such taxes and duties, and such additional amounts as may be necessary so that every net payment of all amounts due to us hereunder, after withholding or deduction for or on account of any such taxes or duties, will not be less than the amount provided for in the invoices for this Matter, and ARC shall promptly upon request furnish to the Firm certified copied of receipts evidencing such payment. ARC shall promptly reimburse the Firm for any such taxes or duties paid by the Firm.

Advance Payment

You agree to promptly pay the Firm \$25,000.00 which will be deposited in a client trust account. We will transfer funds into the Firm's operating account as they are earned. To the extent that the amount of the advance payment exceeds our fees, charges and disbursements upon the completion of the Matter described in the first paragraph of this letter, we will refund any unused portion unless you and the Firm agree to an alternative procedure, in writing. Our monthly statements will indicate the amount remaining of unapplied funds, if any. Promptly after receipt of each monthly statement, you will pay to the Firm an amount which will replenish and maintain ongoing unapplied funds, subject to refund, of \$25,000.00, after payment (including through application of any unapplied retainer) of all outstanding statements for fees, charges and disbursements.



Mr. Vusal Aslanov September 12, 2019 Page Three

If the terms of this letter are satisfactory, please sign the consent form below and return it to me. In the event that you do not sign the letter and return it within ten (10) days from the date of this letter, please note that your instructing us or continuing to instruct us on a particular matter will constitute your full acceptance of the terms set out in this letter.

Very truly yours,

RN:jc

Encls.

I have read the above engagement and conflict waiver letter and agree and accept the terms and conditions set forth therein.

Date: 17.19. 2019

DLA Piper LLP (US) Client-Reimbursable Expenses and Other Charges Effective January 1, 2019

DLA Piper LLP (US) bills clients for reasonable charges and disbursements incurred in connection with a matter. Clients are billed for disbursements based on the actual cost billed by the vendor or, as noted below, at rates below or comparable to outside vendor charges.

- I. Research Services. The Firm has built digital libraries which enable lawyers to perform many research functions without incurring any additional expenses. These functions include pulling cases, court filings and law reviews and reading treatises in digital format. Only complex research requiring the use of Lexis or Westlaw initiates an additional cost to the client. The Firm has negotiated substantial discounts for such services which are passed on to clients at cost.
- II. <u>Duplication</u>. Photocopying services (including copying, collating, tabbing and binding) performed in-house are charged at \$0.15 per page. Color photocopies or prints are charged at \$.95 per page. Photocopying projects performed by outside vendors are billed at the actual invoice amount.
- III. <u>Electronic Data Processing & Hosting</u>. Electronic Data obtained from the client will be processed (e.g., scanning, CD/DVD creation, file conversion) by the Firm's Litigation Support staff and billed at the current Firm rates, or other client negotiated rates. Should the client direct that the work be performed by an outside third party, the cost to the client is the actual invoice amount and will be billed directly by the third party to the client (per section VI below).

Hosting of electronic data in RelativityOne by the Firm will be provided by Relativity in Microsoft Azure and will be billed at \$9 per gigabyte per month. If directed by the client that data hosting be performed by an outside third party, the cost to the client is the actual invoice amount and will be billed directly by the third party to the client (per section VI below).

- IV. <u>Travel Expenses</u>. Travel expenses are billed at actual cost and include air or rail travel, mileage, lodging, meals, car rental, taxi or car service, tips and other reasonable miscellaneous costs associated with travel. Corporate and/or negotiated discounted rates are passed on to the client. Certain retrospective rebates may not be passed along.
- V. <u>Postage and Courier Services</u>. Outside messenger and express courier services are charged at the actual vendor invoice amount. The Firm negotiates, uses, and passes along volume discount rates whenever practicable. Where the Firm uses in-house messengers, there is a standard transaction charge.

VI. <u>Direct Payment by Clients of Other Disbursements</u>. Major disbursements incurred in connection with a matter will be paid directly by the client. Examples of such major disbursements that clients will pay directly include:

Professional Fees (including disbursements for local counsel, accountants, witnesses and other professionals)

Filing/Court Fees (including disbursements for agency fees for filing documents, standard witness fees, juror fees)

Transcription Fees (including disbursements for outside transcribing agencies and deposition/courtroom stenographer transcripts)

Other Disbursements (including third party services, e.g. hosting of client data, litigation support/eDiscovery services, etc., and other required out-of-pocket expenses incurred for the successful completion of the matter)

No hug 827

TERMS OF SERVICE

1. INTRODUCTION

These Terms of Service set forth the terms and conditions under which DLA Piper LLP (US) ("the Firm") will act as your counsel for all existing and future matters (collectively, the "Engagement").

The Firm has issued or will issue an engagement letter, setting forth the scope of the representation, the fee agreement, and other matter-specific terms ("Engagement Letter"). These Terms of Service should be read and understood in conjunction with the Engagement Letter. The Terms of Service and Engagement Letter are collectively referred to as "the Engagement Terms." Where there is any inconsistency between these Terms of Service and the Engagement Letter, the latter will control.

The Terms of Service supersede all prior agreements between you and the Firm with respect to the Engagement. The Terms of Service can only be altered or amended by a writing signed by both you and the Firm.

The words "you" and "your" refer to the client(s) specified in the relevant engagement letter. The words "we," "us" and "our" refer to the Firm.

2. CLIENT(S) REPRESENTED

You agree and acknowledge that the Firm's representation of you does not create an attorney-client relationship with any other entity or person, including without limitation, your corporate parents, subsidiaries, affiliates, other entities owned, in whole or in part by you, entities that hold direct or indirect interests in you, or any of your directors, officers, stockholders, employees, or agents,

unless such entities or persons are specifically named in the Engagement Letter.

In representing corporations, we may provide information or advice to directors, officers, or employees in their corporate capacities. The provision of such information or advice does not create an attorney-client relationship between us and the individual(s) other than in their corporate capacities.

In representing partnerships, we may provide information or advice to partners, officers or employees in their capacities as your representatives. The provision of such information or advice does not create an attorney-client relationship between us and the individual(s) other than in their representative capacities.

In representing limited liability companies, we may provide information or advice to members, officers or employees in their capacities as your representatives. The provision of such information or advice does not create an attorney-client relationship between us and the individual(s) other than in their representative capacities.

In the event that you are acquired or are otherwise subject to a change in control (including by a person or group becoming a controlling affiliate of yours) after the inception of the Engagement, it is understood that the Firm does not represent the acquiring entity or such controlling affiliate or establish an attorney-client relationship with such entities or affiliates.

The Firm's representation of an association or trade group does not create an attorney-client relationship with any of the association or

trade group's constituents, members, affiliates or participants individually.

COUNSEL GUIDELINES

You agree and acknowledge that the Engagement Terms shall control over terms contained in your outside counsel guidelines or similar instructions supplied by you at any time.

4. CONFLICTS OF INTEREST

The Firm and its affiliated entities represent clients in a broad range of industries and in a wide variety of legal matters, nationally and internationally. Absent an effective conflict of interest waiver, conflicts of interest could arise that could deprive you or other clients of the right to select the Firm as their counsel, and preclude us from representing you or other clients in ongoing or future matters. In undertaking the Engagement, the Firm wants to be fair to you and to our other clients. Accordingly, you acknowledge and agree that the Firm and its affiliated entities may, now or in the future, represent other persons or entities on matters adverse to you or any of your current or future affiliates, including, without limitation, in commercial transactions. auctions, mergers and acquisitions, intellectual property matters, restructuring or bankruptcy matters, litigation, arbitration or other dispute resolution procedure, other than those for which the Firm had been or is then engaged by you. Such matters shall be referred to as "Allowed Adverse Representation." You understand that the foregoing examples are illustrative, not exhaustive.

You agree that you will not, for yourself, or any other entity or person, assert the Firm's representation of you or any of its current or future affiliates as a basis for disqualifying the Firm from representing another party in an Allowed Adverse Representation. You further agree that an Allowed Adverse Representation does not breach any duty that the Firm owes to you or any of your affiliates. You may consult with independent counsel regarding this section before agreeing to it.

You agree that this section does not expand the scope of the Engagement to encompass your affiliates unless expressly agreed by the Firm.

5. CONFIDENTIALITY

The Firm has a professional obligation not to disclose your confidential information or to use it for another party's benefit without your These obligations are subject to consent. certain exceptions, including laws and regulations relating to money laundering and terrorist financing. Under certain circumstances, the Firm may be obligated to disclose information to governmental authorities, and we may be prohibited from informing you that disclosure has been made or the reasons for such disclosure. We may have to cease work for you for a period of time and not be able to inform you of the reason. Provided that the Firm acts consistent with its duty of confidentiality, subject to the exceptions noted in this section and those set forth in the applicable Rules of Professional Conduct, you agree that you shall not assert Firm's possession the of such confidential information is a basis for disqualifying the Firm from representing another of its clients in any matter in which you have an interest, or that it constitutes a breach of any duty owed by the Firm, even though the information may relate to a matter in which the Firm is representing another client or may be known to someone at the Firm working on the matter.

If the Firm receives a subpoena or request for information that is within our custody or control, or the custody or control of our agents or representatives, we will, to the extent permitted by applicable law, advise you before responding so that you have the opportunity to intervene or assert any objections. Should you object to the production of such information, the Firm may provide such information only to the extent authorized by you or required by a court or other governmental body of competent jurisdiction. You agree to pay the Firm for services rendered and charges disbursements incurred in responding to any such request for information at the Firm's customary billing rates and pursuant to the Firm's charges and disbursements policies.

Companies seeking legal representation frequently require the Firm to demonstrate our experience representing clients in various areas of law and types of matters. You consent and agree that the Firm may disclose the fact of the Engagement and related general information in response to such requests to the extent that such disclosure does not convey specific information about the Engagement.

6. ARBITRATION OF DISPUTES

Any dispute or claim between you and the Firm arising out of or relating to the Engagement Terms or their breach, including, without limitation, claims for breach of contract, professional negligence, breach of fiduciary duty, misrepresentation, fraud and disputes regarding attorney fees and/or costs charged under this Agreement (except to the extent provided below) shall be submitted to binding arbitration before the American Arbitration Association, and subject to the Commercial Arbitration Rules. This

provision shall not apply to any ethics or disciplinary proceedings against the Firm. The parties shall bear their own legal fees and costs for all claims.

The existence and content of the arbitration proceedings, as well as any arbitration rulings and awards, shall be maintained by the parties as strictly confidential, except (i) to the extent that disclosure is required by a court or other governmental body of competent jurisdiction; (ii) to the extent necessary to confirm, vacate, challenge, or enforce the award in bona fide legal proceedings before a court of competent jurisdiction or other judicial authority; (iii) for disclosure in confidence to the parties' respective attorneys, tax advisors, insurance agents or companies, senior management, and other agents or employees on a need to know basis; or (iv) with the written consent of all parties.

You acknowledge that by agreeing to this Arbitration provision, you are giving up the right to litigate claims against the Firm, and important rights that would be available in litigation, including the right to trial by judge or jury, to extensive discovery and to appeal an adverse decision. You acknowledge that you have read and understand this arbitration provision, and that you voluntarily agree to binding arbitration.

NOTE: You are entitled to consult an independent lawyer to review this arbitration provision before agreeing to it. By accepting these Engagement Terms, you acknowledge that you have consulted with independent counsel, or if not, that you had the opportunity to do so.

7. CLIENT IDENTIFICATION

Many jurisdictions have adopted or are in the process of changing or creating anti-money

laundering, counter-terrorist financing, embargo, trade sanctions or similar policies or laws. As part of the Firm's responsibility for compliance with such laws, rules, regulations or policies, the Firm is obliged to take detailed steps to verify the identity of our clients and sources of payment. Accordingly, prior to commencement of work, the Firm will have already requested, or will be requesting shortly, that you provide us with required identification documents. A delay or failure to provide information required for verification purposes may prevent us from commencing or require us to suspend work on the matter. It is also necessary for us to reserve the right to request additional information believed necessary, advisable or appropriate to verify identity and/or to ensure the Firm's compliance with applicable laws, rules, regulations, best practices and antimoney laundering matters from time to time.

8. DATA TRANSFER CONSENT

Due to legal obligations applicable to the Firm or our affiliated offices, and to efficiently maintain information provided to us, the Firm may transfer some or all of any personal or other data and information ("Data") that you provide to the Firm to one or more DLA Piper offices in other countries that may not be subject to data protection laws similar to those in the jurisdiction in which the Data is first received. By signing this letter, you give us specific consent to obtain and transfer such Data, and confirm that you have obtained and grant us all required consents to allow the Firm to do so.

9. ONLINE COLLABORATION AND CLOUD SHARING PLATFORMS

With your consent, the Firm may employ web-based tools (eRooms), cloud-based

collaboration platforms (RelativityOne, HighQ, SharePoint Online, Teams & Yammer), and cloud sharing platforms (ShareFile & OneDrive) to facilitate sharing of electronic data with you and others. If you consent to the use of an online collaboration platform, the Firm will charge you a one-time fee of \$400 for administration and storage. If another cloud sharing platform is preferred, implementation and management will need to be facilitated by you.

10. TERMINATION

Either of us may terminate the Firm's representation of you in a particular ongoing matter, or in the Engagement, at any time. Unless otherwise terminated by you or the Firm, our representation of you with respect to a particular matter will terminate upon conclusion of the Firm's active involvement in the matter (even if the Firm continues active involvement in other matters on your behalf). Upon termination, we will have no further duty to inform you of future developments or changes in law as may be relevant to such matter. Further, unless you and the Firm mutually agree in writing to the contrary, we will have no obligation to monitor renewal, expiration, or notice dates or similar deadlines which may arise from the matters for which we had been retained.

If, at any time, our engagement is limited to a specific matter, and at the time such matter is completed, we are not representing you in any other matters, our attorney-client relationship will be deemed terminated whether or not we send you a letter to confirm such termination. Thereafter, if you and the Firm mutually agree to engage on a matter or matters, these Terms of Service (and any applicable Engagement Letter) would then become effective.

If permission for withdrawal is required by a court, we shall apply promptly for such permission and termination shall coincide with the court order for withdrawal.

11. CLIENT FILES AND RECORDS RETENTION/DISPOSAL

The Firm maintains files relating to the matter(s) in which we represent you. These files may contain materials received from you. They may also include other materials not received from you, but which are reasonably necessary to our representation of you. Such other materials may include, for instance, correspondence with you or thirdparties on your behalf, executed copies of agreements, filings, pleadings, deposition transcripts, and closing binders. Together. these materials are your Client File. Client File is your property. The Client File does not include materials or documents that include our attorney work product, mental impressions, notes, drafts, or internal firm correspondence or emails (together, "Work Product"). You agree that Work Product shall be and remain our property and shall not be considered part of your Client File.

At the conclusion of a matter (which is defined as the time that our work on any matter subject to the Engagement Terms has been completed), you have the right to take possession of your Client File (not including Work Product) or direct us to dispose of the files. We will be entitled to make and retain copies of all or part of the Client File, at our own discretion and expense. Furthermore, at the conclusion of a matter, we may request that you consent to the return of documents, data or other property received from you or from third parties. You agree to cooperate in the return by the Firm of any such materials.

If you do not take possession of or direct us to dispose of the Client File at the conclusion of a matter, the Firm will store the Client File at its expense for a period of seven (7) years following the conclusion of the matter. You agree that we may dispose of the Client File following the end of that seven (7) year period. consistent with maintaining confidentiality, without further notice or obligation to you. Our retention of the Client File shall not constitute or be deemed to indicate the existence of an ongoing attorneyclient relationship.

12. CONSULTATION WITH COUNSEL

We may consult with our own counsel, whether outside counsel or attorneys inside the Firm who do not perform work for you on the matter, regarding our representation of you. These consultations may be on our own behalf and will not be charged to you. To the extent that we are addressing the Firm's own rights or responsibilities regarding the matter, a conflict of interest might be deemed to exist between the Firm and you. You hereby consent to such consultation, and waive any claim of conflict of interest based on such consultation or resulting communications that could otherwise disqualify us from continuing to represent you or from acting on our own behalf, even if doing so might be deemed adverse to your interests. You acknowledge that the communications related to the consultations are protected by the Firm's own attorney-client privilege from disclosure to you.

13. SEVERABILITY

If any provision of the Engagement Terms is held invalid or unenforceable by any court or arbitrator of competent jurisdiction, the other provisions of the Engagement Terms shall

remain in full force and effect. Any provision of the Engagement Terms held invalid or unenforceable only in part or degree will remain in full force and effect to the extent not held invalid or unenforceable. Our waiver of any of the Engagement Terms shall not be deemed a further or continuing waiver of such term or any other term, unless specifically stated.